Attorney's Docket No.: 17248-004002 / 4804B



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Aladar Szalay et al. Art Unit: 1632

Serial No.: 10/849,664 Examiner: Robert M. Kelly

Filed : May 19, 2004 Cust. No. : 20985

Conf. No.: 7765

Title : LIGHT EMITTING MICROORGANISMS AND CELLS FOR DIAGNOSIS

AND THERAPY OF DISEASES ASSOCIATED WITH WOUNDED OR

**INFLAMED TISSUE** 

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **TRANSMITTAL**

#### Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (6 pages), copy of Foreign Office Action, cited non U.S. patent references, and a return postcard for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed prior to receipt of a first Office Action on the merits for the above-captioned application, a fee for filing this statement should not be due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No, 06-1050, as stated below:

 $\boxtimes$ 

The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Dated: April 5, 2006

Attorney's Docket No.: 17248-004002 / 4804B

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Forms PTO-1449 (6 pages) and copies of the cited non U.S. Patent documents are provided herewith in connection with the above-captioned application.

The documents cited on the Forms PTO-1449 are in the English language, with the exception of items noted below. Item BA (JP2002097144) is in the Japanese language and is provided with an English equivalent (Item AA, US 2002/054865); Item BB (JP55035004) is in the Japanese language and is provided with a Derwent Abstract (Item CC); Item CY (Muravlev et al.) is in the Russian language and includes an English language summary on the last page of the article; Item CZ (Netesova et al.) is in the Russian language and includes an English language summary on the last page of the article; Item DC (Pak et al.) is in the Russian language and includes an English language summary on the last page of the article; Item DI (Prikhod'ko et al., pp. 955-963) is in the Russian language and includes an English language summary on the last page of the article; Item DJ (Prikhod'ko et al., pp. 13-26) is in the Russian language and includes and English language summary on the last page of the article; and Item DW (Vogt et al.) is in the German language and includes an English

Applicant: Szalay et al.

Serial No.: 10/849,664

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Supplemental Information Disclosure Statement

language summary on the last page of the article. Further, Item BY is the certified English translation of the abstract of Aksac *et al.*, which was previously submitted as Item Q in the Supplemental Information Disclosure Statement mailed on August 03, 2005; and Item BZ is the certified English translation of Al'tshtein *et al.*, which was previously submitted as Item R in the Supplemental Information Disclosure Statement mailed on August 03, 2005. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

The Applicant makes known to the Examiner Foreign Office Actions received for the corresponding Foreign applications. Provided herewith is a copy of a Foreign Office Action, issued March 10, 2006, in connection with corresponding European Patent Application No. 03735553.4.

Applicant also makes known to the Patent and Trademark Office the grant of a Retroactive Foreign Filing License on March, 28, 2006 for the subject matter in U.S. patent application No. 10/163,763 (attorney docket No: 17248-004001/4804, now abandoned) which is the parent application of the instant continuation application No. 10/849,664 (attorney docket No. 17248-004002/4804B).

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant: Szalay et al. Serial No.: 10/849,664 Filed: May 19, 2004 Attorney's Docket No: 17248-004002 / 4804B Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Dated: April 5, 2006

Attorney's Docket No: 17248-004002 / 4804B

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Sheet <u>1</u> of <u>6</u>

Substitute Form PTO-1449 Attorney's Docket No. U.S. Department of Commerce Application No. (Modified) Patent and Trademark Office 17248-004002/4804B 10/849,664 Applicant List of Patents and Publications for Applicant's Aladar A. Szalay et al. **Information Disclosure Statement** Filing Date Group Art Unit (37 CFR §1.98(b)) May 19, 2004 1632 Examiner Desig. Document Publication Filing Date Initial ID Number Date Patentee Class Subclass If Appropriate 2002/0054865 05/09/02 AAFujimori et al. 424 93.21 03/26/01 2003/0031628 AB02/13/03 Zhao et al. 424 9.6 07/09/02 2003/0044384 AC Roberts et al. 03/06/03 424 93.2 01/15/02 2003/0161788 AD 08/28/03 Zhao et al. 424 9.6 12/31/02 2003/0165477 AE 09/04/03 Balloul et al. 424 93.21 04/12/01 2004/0076622 AF 04/22/04 Studeny et al. 424 93.21 02/28/03 2005/0249670 AG 11/10/95 Szalay et al. 424 9.32 06/27/05 2006/0051370 ΑH 03/09/06 Szalay et al. 424 199.1 09/27/05 5,650,135 ΑI 07/22/97 Contag et al. 424 9.1 07/01/94 6,007,806 ΑJ 12/28/99 Lathe et al. 424 93.2 12/12/97 6,099,848 ΑK 08/08/00 Frankel et al. 424 246.1 11/18/97 6,232,523 ΑL 05/15/01 Tan et al. 800 10 04/28/97 6,235,967 AM 05/22/01 Tan et al. 800 10 03/27/98 6,235,968 AN 05/22/01 Tan et al. 800 10 04/28/98 6,251,384 06/26/01 AO Tan et al. 424 93.21 01/07/99 6,416,754 ΑP 07/09/02 Brown et al. 424 93.21 07/23/96 6,589,531 Andino-Pavlovsky et AQ 07/08/03 424 199.1 09/01/00 al. 6,627,190 AR 09/30/03 Wold et al. 424 93.2 09/19/01 6,649,143 AS 11/18/03 Contag et al. 424 9.1 01/19/99 6,649,159 AT 11/18/03 Yang et al. 424 93.21 03/19/01 6,652,849 AU 11/25/00 Brown et al. 424 93.2 05/17/02 6,759,038 AV 06/06/04 Tan et al. 424 93.21 05/29/01 6,984,374 Szalay e tal. AW 01/10/06 123 435 01/30/03

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
<u>Initial</u>	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AX	0 861 093	09/28/98	EP				
	AY	1 146 125	10/17/01	EP				
	AZ	1 254 250	03/23/05	EP				

**Examiner Signature** 

Date Considered

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Application No. Substitute Form PTO-1449 U.S. Department of Commerce Attorney's Docket No. (Modified) Patent and Trademark Office 17248-004002/4804B 10/849,664 Applicant List of Patents and Publications for Applicant's Aladar A. Szalay et al. **Information Disclosure Statement** Filing Date Group Art Unit (37 CFR §1.98(b)) May 19, 2004 1632 Foreign Patent Documents or Published Foreign Patent Applications Examiner Desig. Document Publication Country or Translation Number Initial Patent Office Subclass No ID Date Class Yes 2002097144 04/02/02 JР X+ BA 55035004 BB03/11/80 JP **X**\* 01/12234 BC 02/22/01 **PCT** 01/20989 / BD 03/29/01 **PCT** 01/55444 BE 08/02/01 **PCT** 03/006069 BF 01/23/03 **PCT** 03/057007 07/17/03 **PCT** BG 03/092600 BH11/13/03 **PCT** 03/102169 12/11/03 ΒI **PCT** 2004/044175 / 05/27/04 BJ**PCT** 2005/047458 BK 05/26/05 **PCT** 2005/057488 **PCT** 06/23/05 BL2005/072622 BM08/11/05 **PCT** 97/18841 BN 05/29/97 **PCT** 

X\* = An English language Derwent abstract is provided

		Other Documents (include Author, Title, Date, and Place of Publication)
Examiner	Desig.	
Initial	ID	Document
	во	"WHO Collaborating Centre for Orthopoxvirus Diagnosis and Repository for Variola Virus Strains and DNA," VECTOR: Ministry of Public Health and Social Development of Russian Federation, State Research Center of Virology and Biotechnology <a href="http://www.vector.nsc.ru/DesktopDefault.aspx?lcid=9&amp;tabid=294&amp;tabindex=1">http://www.vector.nsc.ru/DesktopDefault.aspx?lcid=9&amp;tabid=294&amp;tabindex=1</a> (accessed on 09/12/05)
	BP	"A New Way to Kill Cancer: SLU Research Shows Viruses can destroy lung, colon tumors," Science Daily: Your link to the latest research news <a href="http://www.sciencedaily.com/releases/2004/05/040517071951.htm">http://www.sciencedaily.com/releases/2004/05/040517071951.htm</a> (accessed on 05/17/04)
	BQ	Advani et al., "Replication-competent, Nonneuroinvasive Genetically Engineered Herpes Virus Is Highly Effective in the Treatment of Therapy-resistant Experimental Human Tumors," Cancer Research 59: 2055-2058 (1999)
	BR	Altenbrunn et al., "Scintographic Tumor Localization in Mice with Radioiodinated Anti-Clostridium Antibodies," Int. J. Nucl. Med. Biol. 8(1): 90-93 (1981)
	BS	Bennett et al., "Positron emission tomography imaging for herpes virus infection: Implications for oncolytic viral treatments of cancer," Nature Med 7(7): 859-863 (2001)

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List of Patents and Publications for Applicant's Information Disclosure Statement			Applicant Aladar A. Szalay et al.		
(37 CFR §1.98(b))			Filing Date May 19, 2004	Group Art Unit 1632	
		Other Documents (include Author,	Title, Date, and Place of Public	ation)	
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	вт	Berger, F. and S.S. Gambhir, "Recent advances in imaging endogenous or transferred gene expression utilizing radionuclide technologies in living subjects," Breast Cancer Research 3: 28-35 (2001)			
	BU	Blasberg, R.G. and J.G. Tjuvajev, "He for PET imaging of gene therapy," Q	J Nucl Med 43(2): 163-169 (199	99)	
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	вх	Brockstedt et al., "Development of Anti-tumor Immunity against a Non-immunogenic Mammary Carcinoma through <i>in Vivo</i> Somatic GM-CSF, IL-2, and HSVtk Combination Gene Therapy," Mol Ther. 6(5): 627-636 (2002)			
	BY	Certified English translation of abstract for Aksac S., "[Antibody formation against Agrobacterium tumefaciens in patients with various cancers]," Turk Hij Tecr Biyol Derg. 34(1-2):48-51 (1974) [Article in Italian].			
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	CA	Chen B et al., "Evaluation of Cytokine Toxicity Induced by Vaccinia Virus-mediated IL-2 and IL-2 Antitumor Immunotherapy," Cytokine (2001) 15(61):305-314.			
i	СВ		Chaudhuri et al., "Light-based imaging of green fluorescent protein-positive ovarian cancer xenografts during therapy," Gynecol. Oncol. 82(3): 581-589 (2001)		
	СС	Derwent English abstract for Japanese Patent Publication JP 55035004, published February 3, 1987 entitled, "Cellular immuno-potentiator – contg. Vaccinia attenuated virus showing no infectivity to man or rabbit and has lost humoral immunity," Derwent Accession Number: 2512008			
	CD	Fabricius et al., "Quantitative investigations into the elimination of <i>in vitro</i> -obtained spores of the non-pathogenic <i>Clostridium butyricum</i> strain CNRZ 528, and their persistence in organs of different species following intravenous spore administration," Res. Microbiol. 144: 741-753 (1993)			
	CE Francis et al., "Monitoring bioluminescent staphyloccus aureus infections in living mice using novel luxABCDE construct," Infection and Immunity 68(6): 3594-3600 (2000)			00 (2000)	
	CF	Gambhir et al., "Imaging transgene expression with radionuclide imaging technologies," Neoplasia 2(1-2): 118-138 (2000)			
	CG	Gnant et al., "Regional <i>Versus</i> Systemic Delivery of Recombinant Vaccinia Virus as Suicide Gene Therapy for Murine Liver Metastases," Annals of Surgery 230(3): 352-361 (1999)			
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	CI	Hamblin et al., "Rapid control of wou in vivo bioluminescence imaging," Pl	and infections by targeted photo-		
	CJ	Hansen et al., "Assessment of GFP fl of low pH and low oxygen concentrate	uorescence in cells of Streptocoo	ccus gordonii under conditions	
	CK	Hasegawa et al., "In vivo tumor deliv occurrence of metastasis," Cancer Ge	ery of the green fluorescent prot	ein gene to report future	

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<del></del>	CL	Hatta, M., "Antitumor mechanisms of Journal of Allergy and Immunology 1		ponents," Asian Pacific
	СМ	Hiller et al., "Characterization of Intracellular and Extracellular Vaccinia Virus Variants: N <sub>1</sub> - Isonicotinoyl-N <sub>2</sub> -3-Methyl-4-Chlorobenzoylhydrazine Interferes with Cytoplasmic Virus Dissemination and Release," Journal of Virology 39(3): 903-913 (1981)		
	CN	Ianaro et al., "Expression of TGF-β in attenuated Salmonella typhimurium: oral administration leads to the reduction of inflammation, Il-2 and IFN-γ, but enhancement of IL-10, in carrageein-induced oedema in mice," Immunology 84:8-15 (1995)		
	СО	Jacobs et al., "Positron Emission Tomography-based Imaging of Transgene Expression Mediated by Replication-conditional, Oncolytic Herpes Simplex Virus Type I Mutant Vectors in Vivo," Cancer Research 61: 2983-2995 (2001)		
	CP	Jain, R.K. and N.S. Forbes, "Can eng USA 98(26): 14748-14750 (2001)	ineered bacteria help control can	ncer," Proc. Natl. Acad. Sci.
	CQ	Joklik, W.K., "The Purification of Fo		
	CR	Kaplitt et al.,, "Mutant herpes simples immunocompetent rats," J. Neuroon		nors growing in
	CS	Kirn, D.H. and F. McCormick, "Replicating viruses as selective cancer therapeutics," Mol Med Today 2(12): 519-527 (1996)		
	СТ	Kutinova et al., "Search for optimal parent for recombinant vaccinia virus vaccines. Study of three vaccinia virus vaccinal strains and several virus lines derived from them," Vaccine 13(5): 487-493 (1995)		
	CU	Lattime et al., "In Situ Cytokine Gene Transfection Using Vaccinia Virus Vectors," Semin Oncol 23(1): 88-100 (1996)		
	CV	Mackenzie et al., "Human mesenchyr differentiation, and are present in site into fetal sheep," Blood Cells, Molecu	s of wound healing and tissue re	generation after transplantation
	CW	Meyer et al., "Mapping of deletions in their influence on virulence," Journal	n the genome of the highly atten	uated vaccinia virus MVA and
	CX	Morinaga et al., "Antitumor activity a (Gann) 79: 117-124 (1988)		
	CY	Muravlev et al., "Protective activity of nonionic detergents," Voprosy Viruso summary on last page of article]	ologii 40(4): 154-8 (1995) ) [arti	cle in Russian, English
	CZ	Netesova et al., "Structural and functivirus Strain L-IVP," Mol Biol (Mosk English summary on last page of artic	.) Nov-Dec; 25(6): 1526-32 (199 cle]	91)) [article in Russian,
	DA	Norton et al., "Expression of Secreted Nonreplicating and Noncytopathic Va	accinia Virus," Annals of Surger	ry 224(4):555-562 (1996)
	DB	Overwijk et al., "Vaccination with a rautoimmune vitiligo and tumor cell d Proc. Natl. Acad. Sci. USA 96: 2982-	estruction in mice: Requirement	
	DC	Pak et al., "Cloning of the growth fac cells," Mol Gen Mikrobiol Virusol S summary on last page of article]	tor gene from vaccinia virus LIV	

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	DD	Pan et al., "Regression of Established B16F10 Melanoma with a Recombinant Listeria monocytogenes Vaccine," Cancer Research 59:5264-5269 (1999)		
	DE	Peplinski et al., "In vivo gene therapy encoding human interleukin-1beta," S	Surgery 118:185-191 (1995)	
	DF	Phillips-Jones, M.K., "Bioluminescen FEMS Microbiology Letters 106: 265	5-270 (1993)	
	DG	Phillips-Jones, M.K., "Use of <i>lux</i> reporter system for monitoring rapid changes in α-toxin gene expression in <i>Clostridium perfringens</i> during growth," FEMS Microbiology Letters 188: 29-33 (2000)		
	DH	Poptani et al., "Monitoring thymidine glioma in vivo by nuclear magnetic re		
	DI	Prikhod'ko, G. G. et al., "Cloning, Se HindIII N Fragment," Genetika 27(6) page of article]	quencing and Translation Analy : 955-963 (1991) ) [article in Ru	sis of the Vaccinia Virus LIVP ssian, English summary on last
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	DK	Qazi et al, "Real-time monitoring of intracellular <i>Staphylococcus aureus</i> replication," J Bacteriol. 186(4): 1065-1077 (2004)		
	DL	Rocchetta et al., "Validation of a Noninvasive, Real-Time Imaging Technology Using Bioluminescent <i>Escherichia coli</i> in the Neutropenic Mouse Thigh Model of Infection," Antimicrobial Agents and Chemotherapy 45(1): 129-137 (2001)		
	DM	Sakamoto et al., "Antitumor effect of Cancer Res. (Gann) 79: 109-116 (198		Ehrlich Ascites tumor," Jpn. J.
	DN	Scholl et al., "Recombinant Vaccinia Patients with Breast Cancer," J. Immu		and IL2 as Immunotherapy in
	DO	Shchelkunov et al., "The gene encodi essential for virus reproduction," Viru	ng the late nonstructural 36K pr	otein of vaccinia virus is
	DP	Shimizu et al., "Antitumor activity of 433 (1979)	marine bacteria, vibrio anguilla	rum, in mice," Gann 70: 429-
	DQ	Shimizu et al., "Antitumor activity of anguillarum in mice," Gann 74(2): 27		popolysaccharide of vibrio
	DR	Studeny et al., "Bone Marrow-derived Delivery into Tumors," Cancer Resea		ehicles for Interferon-β
	DS	Tjuvajev et al., "Noninvasive Imaging Expression: A Potential Method for N 4095 (1996)	Monitoring Clinical Gene Therap	by," Cancer Res 56(18): 4087-
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	DU	Tjuvajev et al., "Imaging Adenoviral- Expression In Vivo," Cancer Research		ine Kinase Gene Transfer and
	DV	Tjuvajev et al., "Imaging Herpes Viru Positron Emission Tomography,"Can		

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Substitute For (Modified)	m PTO-1449	9 U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17248-004002/4804B	Application No. 10/849,664	
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	DW	Vogt et al., "Untersuchungen über die Möglichkeit der Tumorlokalisation in vivo auf ser Basis e szintigrafischer Klostridienstäbchen-Nachweises mit <sup>131</sup> J-markierten Antikörpern und F(ab') <sub>2</sub> -Antikörperfragmenten," Zeitschrift für Experimentelle Chirurgie 12(4): 209-215 (1979) [article German, English summary on the last page of the article]			
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	DY	Xie et al., "Adenovirus-mediated Tiss	sue-targeted Expression of a Caspase-9-based Artificial Death Cancer," Cancer Research 61: 6795-6804 (2001)		
	DZ	Yang et al., "Visualizing gene expression by whole-body fluorescence imaging," PNAS 97(22): 12278-12282 (2000)			
	EA	Zhao et al., "Spatial-temporal imagin animals," Proceeding of the National			
EB Zinoviev et al., "Identification of the gene encoding vaccinia virus immunodominant protein Gene 147: 209-214 (1994)			mmunodominant protein p35,"		

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